

RAJASTHAN ILD SKILLS UNIVERSITY

(RISU)

General Elective Paper 69 : Securities Markets and Trading

UNIT 1

Meaning of financial services , types , players in financial services , merchant banking , functions , managing new issue , venture capital , need, functions, benefits , mutual funds , types , factoring , services , securitization , credit rating , CARE , ICRA , CRISAL.

UNIT 2

Primary market : face value of shares , debenture issue of shares on premium , discount initial public offer (IPO), Follow on public offer (FPO) , Private placement , book building process , cut - off price , allotment of shares , draft offer document , listing of securities de - listing of securities.

UNIT 3

Secondary market : introduction , differences b/w primary and secondary market , role of stock exchanges, demutualization of stock exchanges , screen based trading NCEAT internet based trading, contract note brokerage , products in the secondary markets, equity investments, debit investments.

UNIT 4

Derivatives: Types of derivatives optional premium, commodity exchange, commodity derivative markets, differences b/w commodity and financial derivatives, depositing systems, depositing participant custodian, ISIM, De - materialization of securities.

UNIT 5

B.S.E., N.S.E: organisational structure, index construction, Sensex, NIFTY, settlement, rolling settlement, pay in and pay out , no delivery period , auction of shares , investor protection fund.

Books recommended:

1. Guide to indian stock market by hitendra gala
2. Investment management by dr Preeti Singh
3. Stocks to Riches – Parag Parikh. ...
4. How to avoid loss and earn consistently in the stock market – Prasenjit Paul.
5. Value Investing and behavioral finance – Parag Parikh. ...